Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 1 of 9

| sa to respond to a concentration information diffees it displays a valid clinb control frame |             |  |  |  |  |  |  |
|--|-------------|--|--|--|--|--|--|
| Confirmation Number  | 1189        |  |  |  |  |  |  |
| Application Number   | 10/761,036  |  |  |  |  |  |  |
| Filing Date  | 1/20/2004   |  |  |  |  |  |  |
| First Named Inventor   | Dunbar      |  |  |  |  |  |  |
| Group Art Unit   | 3733        |  |  |  |  |  |  |
| Examiner Name  | Hoffman     |  |  |  |  |  |  |
| Attorney Docket Number   | DEP5033USNP |  |  |  |  |  |  |

#### **U.S. PATENT DOCUMENTS**

| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | U.S. Patent Document  Kind C  Number (if known) | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines,<br>where relevant passages or<br>relevant figures appear |
|----------------------|--------------------------|---|--|--|---|
|                      |                          | 3960147   | Murray, William M.                                 | 6/1/1976   |   |
|                      |                          | 4271836   | Bacal, Kazimierz                                   | 6/9/1981   |   |
|                      |                          | 4411259   | Drummond, Denis S.                                 | 10/25/1983                                       |   |
|                      |                          | 4809695   | Gwathmey, Owen M.                                  | 3/7/1989   |   |
|                      |                          | 5484440   | Allard, Randall N.                                 | 1/16/1996  |   |
|                      |                          | 5545165   | Biedermann, Lutz                                   | 8/13/1996  |   |
|                      |                          | 5616143   | Schlapfer, Johannes F.                             | 4/1/1997   |   |
|                      |                          | 5697933   | Gundlapalli, Ramarao                               | 12/16/1997                                       |   |
|                      |                          | 5707371   | Metz Stavenhagen, Peter                            | 1/13/1998  |   |
|                      |                          | 5725532   | Shoemaker, Steven                                  | 3/10/1998  |   |
|                      |                          | 5746757   | McGuire, David A.                                  | 5/5/1998   |   |

### FOREIGN PATENT DOCUMENTS

|                      |                          | Foreign             |                         |                     | Pages, Columns, Lines,<br>where relevant |  |  |                |
|----------------------|--------------------------|---------------------|-------------------------|---------------------|--|--|--|----------------|
| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Office <sup>3</sup> | Number <sup>4</sup> Kir | ndCode <sup>5</sup> | Applicant of Cited Document              | mm-dd-yyyy passages or relevan<br>figures appear |  | T <sub>6</sub> |
|                      |                          | EP                  | 1574175                 |                     | Oribe, Kazuya                            | 9/14/2005  |  |                |
|                      |                          | EP                  | 1648320                 |                     | Iott, Andy                               | 4/26/2006  |  |                |
|                      |                          | EP                  | 1796564                 |                     | LIM, Roy, K.                             | 6/20/2007  |  |                |
|                      |                          | FR                  | 2677242                 |                     | Jeanson Jean-François                    | 12/11/1992                                       |  |                |
|                      |                          | FR                  | 2729291                 |                     | GENNARI JEAN MARIE                       | 7/19/1996  |  |                |
|                      |                          | wo                  | 1996021396              |                     | GENNARI, Jean-Marie                      | 7/18/1996  |  |                |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional), 2 See Kinds Codes of U.S. Patent Documents at <a href="https://www.uspto.gov.">www.uspto.gov.</a> 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 2 of 9

| sa to respond to a concessor or information amess it alsolidays a valid civib control named |             |  |  |  |  |  |
|---|-------------|--|--|--|--|--|
| Confirmation Number   | 1189        |  |  |  |  |  |
| Application Number  | 10/761,036  |  |  |  |  |  |
| Filing Date   | 1/20/2004   |  |  |  |  |  |
| First Named Inventor  | Dunbar      |  |  |  |  |  |
| Group Art Unit  | 3733        |  |  |  |  |  |
| Examiner Name   | Hoffman     |  |  |  |  |  |
| Attorney Docket Number  | DEP5033USNP |  |  |  |  |  |

#### **U.S. PATENT DOCUMENTS**

|                      | U.S. Patent Document |               |                   | Data of D. Islandian                               | Daniel Calmina Lines                  |  |
|----------------------|----------------------|---------------|-------------------|--|---------------------------------------|--|
| Examiner<br>Initials | Cite                 |               | Code <sup>2</sup> | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of Cited Document | Pages, Columns, Lines,<br>where relevant passages or |
| miliais              | No. <sup>1</sup>     | Number (if kr | nown)             |  | mm-dd-yyyy                            | relevant figures appear                              |
|                      |                      | 5810878       |                   | Burel, Marc H.                                     | 9/22/1998                             |  |
|                      |                      | 5951564       |                   | Schroder, Lisa K.                                  | 9/14/1999                             |  |
|                      |                      | 6036692       |                   | Burel, Marc H.                                     | 3/14/2000                             |  |
|                      |                      | 6099528       |                   | Saurat, Jean                                       | 8/8/2000                              |  |
|                      |                      | 6210330       |                   | Tepper, Ronnie                                     | 4/3/2001                              |  |
|                      |                      | 6251112       |                   | Jackson, Roger P.                                  | 6/26/2001                             |  |
|                      |                      | 6258090       |                   | Jackson, Roger P.                                  | 7/10/2001                             |  |
|                      |                      | 6371973       |                   | Tepper, Ronnie                                     | 4/16/2002                             |  |
|                      |                      | 6530929       |                   | Justis, Jeff R.                                    | 3/11/2003                             |  |
|                      |                      | 6589249       |                   | Sater, Ghaleb A.                                   | 7/8/2003                              |  |
|                      |                      | 6755829       |                   | Bono, Frank Scott                                  | 6/29/2004                             |  |

### FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials | Cite | Foreign Office <sup>3</sup> | Patent Document  Number <sup>4</sup> KindCode <sup>5</sup> |  | Foreign Patent Document  Name of Patentee or  Office <sup>3</sup> Number <sup>4</sup> KindCode <sup>5</sup> Applicant of Cited Document |           | Date of Publication<br>of Cited Document<br>mm-dd-yyyy | Pages, Columns, Lines,<br>where relevant<br>passages or relevant<br>figures appear | T <sup>6</sup> |
|----------------------|------|-----------------------------|--|--|---|-----------|--|--|----------------|
|                      |      | wo                          | 2005006948   |  | IOTT, Andy  | 4/21/2005 |  |  |                |
|                      |      | wo                          | 2006020443   |  | LIM, Roy, K.  | 2/23/2006 |  |  |                |
|                      |      |                             |  |  |   |           |  |  |                |
|                      |      |                             |  |  |   |           |  |  |                |
|                      |      |                             |  |  |   |           |  |  |                |
|                      |      |                             |  |  |   |           |  |  |                |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional), 2 See Kinds Codes of U.S. Patent Documents at <a href="https://www.uspto.gov.">www.uspto.gov.</a> 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 3 of 9

| sa to respond to a concentration information diffees it displays a valid clinb control frame |             |  |  |  |  |  |  |
|--|-------------|--|--|--|--|--|--|
| Confirmation Number  | 1189        |  |  |  |  |  |  |
| Application Number   | 10/761,036  |  |  |  |  |  |  |
| Filing Date  | 1/20/2004   |  |  |  |  |  |  |
| First Named Inventor   | Dunbar      |  |  |  |  |  |  |
| Group Art Unit   | 3733        |  |  |  |  |  |  |
| Examiner Name  | Hoffman     |  |  |  |  |  |  |
| Attorney Docket Number   | DEP5033USNP |  |  |  |  |  |  |

#### **U.S. PATENT DOCUMENTS**

|                      |                  | U.S. Patent Document |                   |  | D . (D.I.)                            | B 01 11  |
|----------------------|------------------|----------------------|-------------------|--|---------------------------------------|--|
| Examiner<br>Initials | Cite             |                      | Code <sup>2</sup> | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of Cited Document | Pages, Columns, Lines,<br>where relevant passages or |
| miliais              | No. <sup>1</sup> | Number (if ki        | nown)             |  | mm-dd-yyyy                            | relevant figures appear                              |
|                      |                  | 6790208              |                   | Oribe, Kazuya                                      | 9/14/2004                             |  |
|                      |                  | 7083621              |                   | Shaolian, Samuel M.                                | 8/1/2006                              |  |
|                      |                  | 7278995              |                   | Nichols, David                                     | 10/9/2007                             |  |
|                      |                  | 7320689              |                   | Keller, Arnold                                     | 1/22/2008                             |  |
|                      |                  | 7371239              |                   | Dec, Brian   | 5/13/2008                             |  |
|                      |                  | 7462182              |                   | Lim, Roy   | 12/9/2008                             |  |
|                      |                  | 7491207              |                   | Keyer, Thomas R.                                   | 2/17/2009                             |  |
|                      |                  | 20020095153          |                   | Jones, Robert, J.                                  | 7/18/2002                             |  |
|                      |                  | 20030028195          |                   | Bette, Stephane                                    | 2/6/2003                              |  |
|                      |                  | 20030083747          |                   | Winterbottom, John                                 | 5/1/2003                              |  |
|                      |                  | 20030199872          |                   | Markworth, Aaron                                   | 10/23/2003                            |  |

### FOREIGN PATENT DOCUMENTS

|                      |                          | Foreign             | Patent Documer          | nt                  | Name of Patentee or         | Date of Publication of Cited Document mm-dd-yyyy Pages, Columns, Lines, where relevant passages or relevant figures appear |  | T <sub>6</sub> |
|----------------------|--------------------------|---------------------|-------------------------|---------------------|-----------------------------|--|--|----------------|
| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Office <sup>3</sup> | Number <sup>4</sup> Kir | ndCode <sup>5</sup> | Applicant of Cited Document |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional), 2 See Kinds Codes of U.S. Patent Documents at <a href="https://www.uspto.gov.">www.uspto.gov.</a> 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 4 of 9

| sa to respond to a concentration and analysis a valid class control name |             |  |  |  |  |  |
|--|-------------|--|--|--|--|--|
| Confirmation Number  | 1189        |  |  |  |  |  |
| Application Number   | 10/761,036  |  |  |  |  |  |
| Filing Date  | 1/20/2004   |  |  |  |  |  |
| First Named Inventor   | Dunbar      |  |  |  |  |  |
| Group Art Unit   | 3733        |  |  |  |  |  |
| Examiner Name  | Hoffman     |  |  |  |  |  |
| Attorney Docket Number   | DEP5033USNP |  |  |  |  |  |

#### **U.S. PATENT DOCUMENTS**

|                      |                  | U.S. Patent Document |                               |  | Date of Publication | Pages, Columns, Lines,                             |
|----------------------|------------------|----------------------|-------------------------------|--|---------------------|--|
| Examiner<br>Initials | Cite             |                      | d Code <sup>2</sup><br>known) | Name of Patentee or Applicant<br>of Cited Document | of Cited Document   | where relevant passages or relevant figures appear |
|                      | No. <sup>1</sup> | Number (ii           | KIIOWII)                      |  | mm-dd-yyyy          | relevant ligures appear                            |
|                      |                  | 20030225408          |                               | Nichols, David                                     | 12/4/2003           |  |
|                      |                  | 20040147937          |                               | Dunbar, William, L.                                | 7/29/2004           |  |
|                      |                  | 20040176779          |                               | Casutt, Guido                                      | 9/9/2004            |  |
|                      |                  | 20040220567          |                               | Eisermann, Lukas                                   | 11/4/2004           |  |
|                      |                  | 20040267275          |                               | Cournoyer, John, R.                                | 12/30/2004          |  |
|                      |                  | 20050015095          |                               | Keller, Arnold                                     | 1/20/2005           |  |
|                      |                  | 20050090824          |                               | Shluzas, Alan                                      | 4/28/2005           |  |
|                      |                  | 20050131408          |                               | Sicvol, Christopher                                | 6/16/2005           |  |
|                      |                  | 20050131420          |                               | Techiera, Richard                                  | 6/16/2005           |  |
|                      |                  | 20050143749          |                               | Zalenski, Edward                                   | 6/30/2005           |  |
|                      |                  | 20050149036          |                               | Varieur, Michael                                   | 7/7/2005            |  |

### FOREIGN PATENT DOCUMENTS

|                      |                          | Foreign             | Patent Documer | nt                  | Name of Patentee or         | Date of Publication of Cited Document Pages, Columns, Lines, where relevant |  | <b>-</b> 6 |
|----------------------|--------------------------|---------------------|----------------|---------------------|-----------------------------|---|--|------------|
| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Office <sup>3</sup> | Number⁴ Kir    | ndCode <sup>5</sup> | Applicant of Cited Document | mm-dd-yyyy  | passages or relevant<br>figures appear | T°         |
|                      |                          |                     |                |                     |                             |   |  |            |
|                      |                          |                     |                |                     |                             |   |  |            |
|                      |                          |                     |                |                     |                             |   |  |            |
|                      |                          |                     |                |                     |                             |   |  |            |
|                      |                          |                     |                |                     |                             |   |  |            |
|                      |                          |                     |                |                     |                             |   |  |            |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional), 2 See Kinds Codes of U.S. Patent Documents at <a href="https://www.uspto.gov.">www.uspto.gov.</a> 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 5 of 9

| ed to respond to a confection of information diffess it displays a valid OWE control fluin |             |  |  |  |  |  |
|--|-------------|--|--|--|--|--|
| Confirmation Number  | 1189        |  |  |  |  |  |
| Application Number   | 10/761,036  |  |  |  |  |  |
| Filing Date  | 1/20/2004   |  |  |  |  |  |
| First Named Inventor   | Dunbar      |  |  |  |  |  |
| Group Art Unit   | 3733        |  |  |  |  |  |
| Examiner Name  | Hoffman     |  |  |  |  |  |
| Attorney Docket Number   | DEP5033USNP |  |  |  |  |  |

#### **U.S. PATENT DOCUMENTS**

| Examiner<br>Initials | Cite<br>No. <sup>1</sup> |             | d Code <sup>2</sup><br>known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines,<br>where relevant passages or<br>relevant figures appear |
|----------------------|--------------------------|-------------|-------------------------------|---|--|---|
|                      |                          | 20050149048 |                               | Leport, Tiphaine                                | 7/7/2005   |   |
|                      |                          | 20050149053 |                               | Varieur, Michael                                | 7/7/2005   |   |
|                      |                          | 20050192570 |                               | Jackson, Roger                                  | 9/1/2005   |   |
|                      |                          | 20050192579 |                               | Jackson, Roger                                  | 9/1/2005   |   |
|                      |                          | 20050228392 |                               | Keyer, Thomas                                   | 10/13/2005                                       |   |
|                      |                          | 20050261702 |                               | Oribe, Kazuya                                   | 11/24/2005                                       |   |
|                      |                          | 20060025768 |                               | lott, Andy                                      | 2/2/2006   |   |
|                      |                          | 20060036254 |                               | Lim, Roy  | 2/16/2006  |   |
|                      |                          | 20060036260 |                               | Runco, Thomas                                   | 2/16/2006  |   |
|                      |                          | 20060074418 |                               | Jackson, Roger                                  | 4/6/2006   |   |
|                      |                          | 20060079909 |                               | Runco, Thomas                                   | 4/13/2006  |   |

### FOREIGN PATENT DOCUMENTS

|                      |                          | Foreign             | Patent Documer          | nt                  | Name of Patentee or         | Date of Publication of Cited Document mm-dd-yyyy Pages, Columns, Lines, where relevant passages or relevant figures appear |  | T <sub>e</sub> |
|----------------------|--------------------------|---------------------|-------------------------|---------------------|-----------------------------|--|--|----------------|
| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Office <sup>3</sup> | Number <sup>4</sup> Kir | ndCode <sup>5</sup> | Applicant of Cited Document |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional), 2 See Kinds Codes of U.S. Patent Documents at <a href="https://www.uspto.gov.">www.uspto.gov.</a> 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 6 of 9

| a to toop other to a control of the |             |
|---|-------------|
| Confirmation Number   | 1189        |
| Application Number  | 10/761,036  |
| Filing Date   | 1/20/2004   |
| First Named Inventor  | Dunbar      |
| Group Art Unit  | 3733        |
| Examiner Name   | Hoffman     |
| Attorney Docket Number  | DEP5033USNP |

#### **U.S. PATENT DOCUMENTS**

|                      |                  | U.S. Patent Document |                               |  | Date of Publication | Pages, Columns, Lines,                                |
|----------------------|------------------|----------------------|-------------------------------|--|---------------------|---|
| Examiner<br>Initials | Cite             |                      | d Code <sup>2</sup><br>known) | Name of Patentee or Applicant<br>of Cited Document | of Cited Document   | where relevant passages or<br>relevant figures appear |
|                      | No. <sup>1</sup> | Number (ii           | KIIOWII)                      |  | mm-dd-yyyy          | relevant ligures appear                               |
|                      |                  | 20060089651          |                               | Trudeau, Jeffrey                                   | 4/27/2006           |   |
|                      |                  | 20060095035          |                               | Jones, Robert                                      | 5/4/2006            |   |
|                      |                  | 20060111730          |                               | Hay, James   | 5/25/2006           |   |
|                      |                  | 20060166534          |                               | Brumfield, David                                   | 7/27/2006           |   |
|                      |                  | 20060166535          |                               | Brumfield, David                                   | 7/27/2006           |   |
|                      |                  | 20060293692          |                               | Whipple, Dale                                      | 12/28/2006          |   |
|                      |                  | 20070093849          |                               | Jones, Scott, A.                                   | 4/26/2007           |   |
|                      |                  | 20070173831          |                               | Abdou, M. S.                                       | 7/26/2007           |   |
|                      |                  | 20070213722          |                               | Jones, Scott A.                                    | 9/13/2007           |   |
|                      |                  | 20070260261          |                               | Runco, Thomas, J.                                  | 11/8/2007           |   |
|                      |                  | 20080077134          |                               | Dziedzic, Sara                                     | 3/27/2008           |   |

### **FOREIGN PATENT DOCUMENTS**

|                      |                          | Foreign             | Patent Documer          | nt                  | Name of Patentee or         | Date of Publication of Cited Document mm-dd-yyyy Pages, Columns, Lines, where relevant passages or relevant figures appear |  | T <sub>e</sub> |
|----------------------|--------------------------|---------------------|-------------------------|---------------------|-----------------------------|--|--|----------------|
| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Office <sup>3</sup> | Number <sup>4</sup> Kir | ndCode <sup>5</sup> | Applicant of Cited Document |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |
|                      |                          |                     |                         |                     |                             |  |  |                |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional), 2 See Kinds Codes of U.S. Patent Documents at <a href="https://www.uspto.gov.">www.uspto.gov.</a> 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 7 of 9

| to to respond to a contestion of information diffess it displays a valid climb control number. |             |  |  |
|--|-------------|--|--|
| Confirmation Number  | 1189        |  |  |
| Application Number   | 10/761,036  |  |  |
| Filing Date  | 1/20/2004   |  |  |
| First Named Inventor   | Dunbar      |  |  |
| Group Art Unit   | 3733        |  |  |
| Examiner Name  | Hoffman     |  |  |
| Attorney Docket Number   | DEP5033USNP |  |  |

#### **U.S. PATENT DOCUMENTS** U.S. Patent Document Date of Publication Name of Patentee or Applicant Pages, Columns, Lines, Kind Code Examiner Cite where relevant passages or of Cited Document of Cited Document Initials relevant figures appear (if known) Number No.1 mm-dd-yyyy 20080077135 Stad, Shawn D. 3/27/2008 20080255574 Dye, Donald W. 10/16/2008 20090030420 Runco, Thomas J. 1/29/2009 20090054902 Mickiewicz, Christopher 2/26/2009

#### FOREIGN PATENT DOCUMENTS Foreign Patent Document Date of Publication Pages, Columns, Lines, of Cited Document where relevant Name of Patentee or $\mathsf{T}^6$ Examiner Cite passages or relevant mm-dd-yyyy Applicant of Cited Document figures appear Office<sup>3</sup> Number<sup>4</sup> KindCode<sup>5</sup> No.1

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of U.S. Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a>. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08b (04-07) Approved for use through 9/30/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 8 of 9

| ed to respond to a collection of illiornation driess it displays a valid OMB control number. |                        |             |  |  |
|--|------------------------|-------------|--|--|
|  | Confirmation Number    | 1189        |  |  |
| Application Number   |                        | 10/761,036  |  |  |
|  | Filing Date            | 1/20/2004   |  |  |
|  | First Named Inventor   | Dunbar      |  |  |
|  | Group Art Unit         | 3733        |  |  |
|  | Examiner Name          | Hoffman     |  |  |
|  | Attorney Docket Number | DEP5033USNP |  |  |

|                         |              | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS  |                |
|-------------------------|--------------|--|----------------|
| Examiner's<br>Initials* | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |
|                         |              | Sofamor "Introducteur – Contreur De Tige", June 1994   |                |
|                         |              |  |                |
|                         |              |  |                |
|                         |              |  |                |
|                         |              |  |                |
|                         |              |  |                |
|                         |              |  |                |
|                         |              |  |                |
|                         |              |  |                |
|                         |              |  |                |
|                         |              |  |                |
|                         |              |  |                |
|                         |              |  |                |
|                         |              |  |                |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.